

# **Does Polaronic Self-Trapping Occur at Anatase TiO<sub>2</sub> Surfaces?**

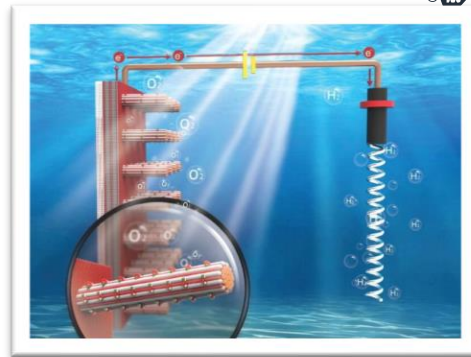
**John Carey**  
**Keith McKenna**

**N8 presentation: Friday (04-01-2019)**

# TiO<sub>2</sub> applications



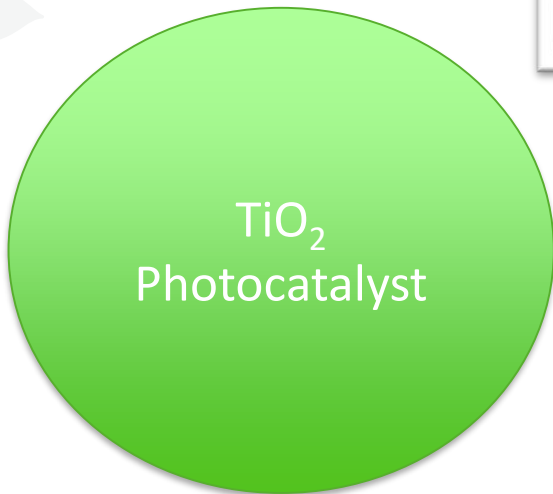
Solar cells



Water splitting

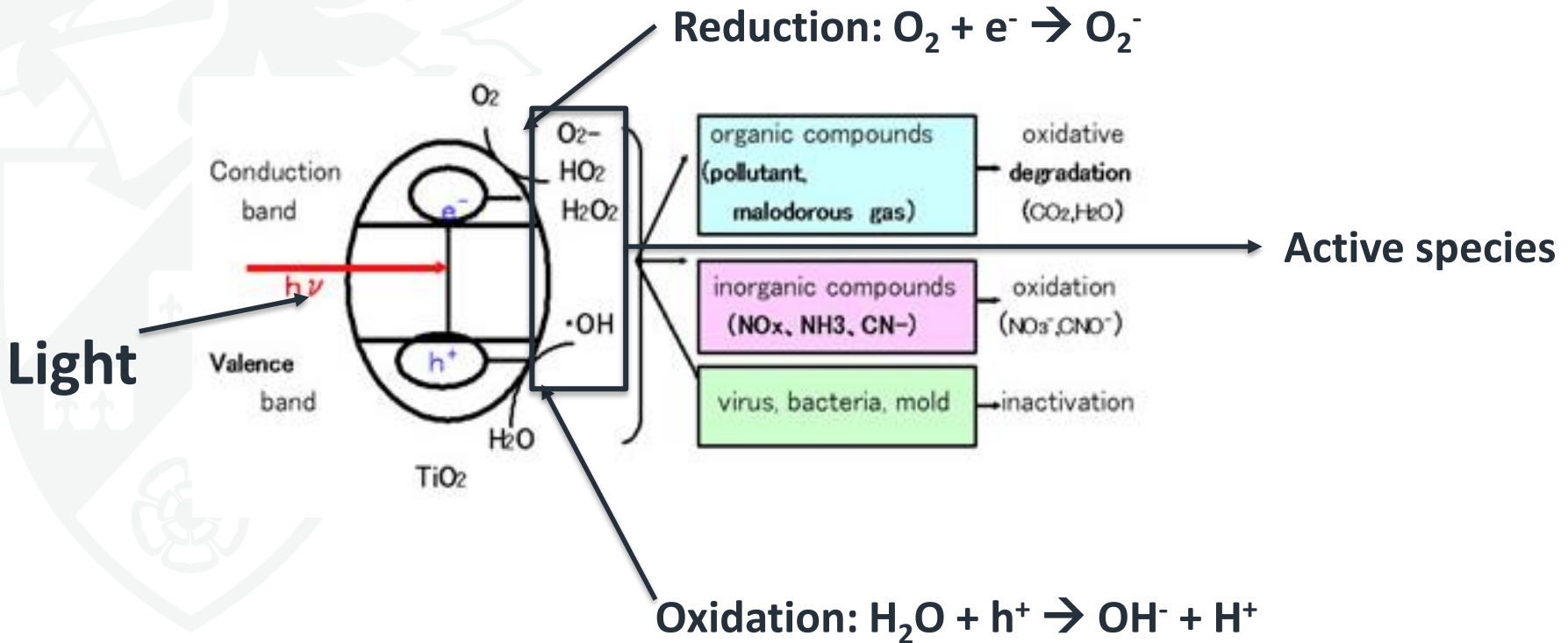


Pollution



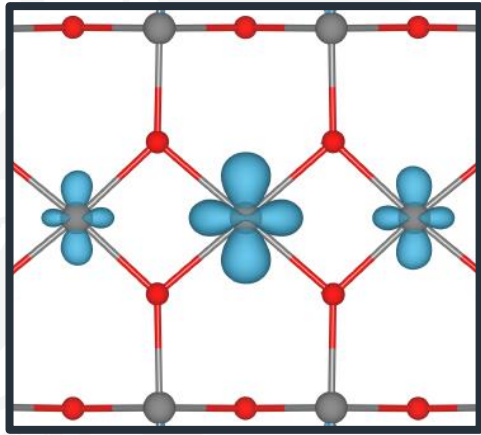
Paint

# TiO<sub>2</sub> as a photocatalyst



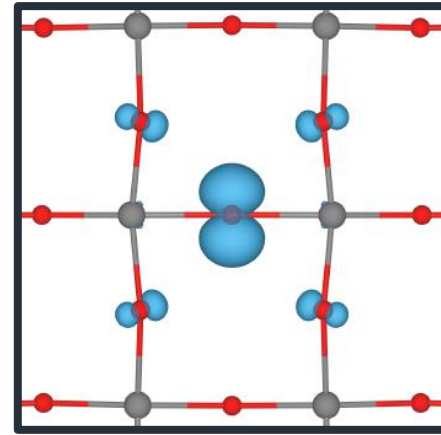
# Polarons in $\text{TiO}_2$

Reduction



Electron polaron on Ti site

Oxidation



Hole polaron on O site

# Previous work

## Effect of Size and Shape of Nanocrystalline TiO<sub>2</sub> on Photogenerated Charges. An EPR Study

Nada M. Dimitrijevic<sup>†‡</sup>, Zoran V. Saponjic<sup>†</sup>, Bryan M. Rabatic<sup>‡</sup>, Oleg G. Poluektov<sup>†</sup>, and Tijana Rajh<sup>‡</sup>  
Chemistry Division and Center for Nanoscale Materials, Argonne National Laboratory, Argonne, Illinois 60439

*J. Phys. Chem. C*, 2007, 111 (40), pp 14597–14601

DOI: 10.1021/jp0756395

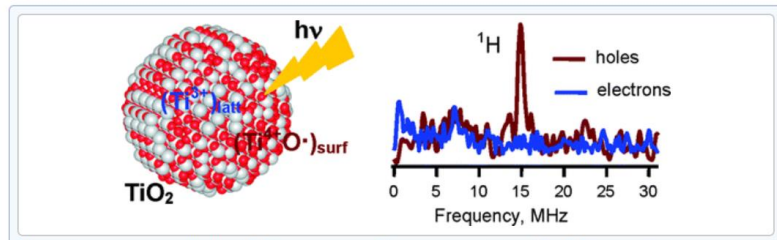
Publication Date (Web): September 18, 2007

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Cite this: *J. Phys. Chem. C* 2007, 111, 40, 14597-14601

RIS Citation GO

### Abstract



## Trapped Holes on TiO<sub>2</sub> Colloids Studied by Electron Paramagnetic Resonance<sup>†</sup>

Olga I. Micic,<sup>‡</sup> Yuenian Zhang, Keith R. Cromack, Alexander D. Trifunac, and Marion C. Thurnauer<sup>†</sup>

Chemistry Division, Argonne National Laboratory, Argonne, Illinois 60439

Received: December 11, 1992; In Final Form: March 30, 1993

## EPR Study of the Surface Characteristics of Nanostructured TiO<sub>2</sub> under UV Irradiation

Juan M. Coronado,<sup>\*,†</sup> A. Javier Maira,<sup>†</sup> José Carlos Conesa,<sup>†</sup> King Lun Yeung,<sup>‡</sup> Vincenzo Augugliaro,<sup>1,3</sup> and Javier Soria<sup>†</sup>

*Instituto de Catálisis y Petrolquímica, CSIC, Campus UAM, Cantoblanco, Madrid 28047, Spain, and Department of Chemical Engineering, The Hong Kong University of Science and Technology, Clear Water Bay, Kowloon, Hong Kong*

Received January 29, 2001. In Final Form: April 24, 2001

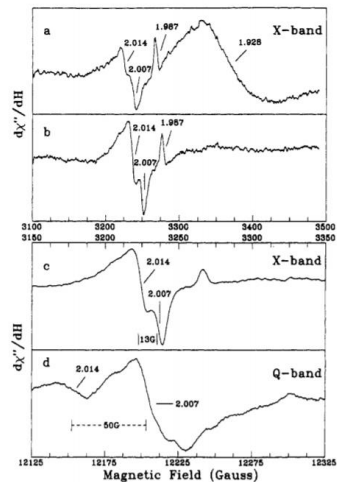


Figure 1. EPR spectra of degassed aqueous colloidal TiO<sub>2</sub> prepared under various conditions and irradiated at 77 K: (a) colloids (0.1 M monomeric concentration, 100-Å average diameter), pH 3, irradiated with 308-nm excimer laser recorded at 30 K, X-band (300-G scan); (b) same as in 1a after aging 3 months; (c) colloidal gel (0.07 M), pH 4, irradiated at 77 K (308-nm excimer laser), recorded at 13 K, X-band (9.1 GHz); (d) same conditions as in 1c, Q-band (34 GHz).

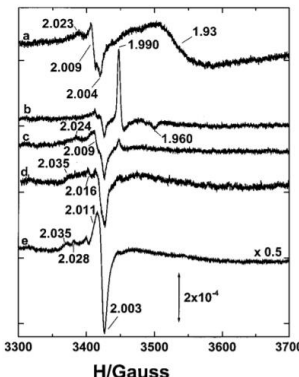


Figure 2. EPR spectra of the TiO<sub>2</sub> gel sample outgassed 1 h at RT and (a) irradiated for 15 min at 77 K; H6 sample treated in a vacuum for 1 h at RT and (b) subsequently irradiated during 15 min at 77 K; and (c) contacted with O<sub>2</sub> at 77 K; Ti6 material outgassed at RT for 1 h and (d) irradiated for 15 min and (e) subsequently exposed to O<sub>2</sub> at 77 K.

## Experimental evidence for polarons

## EPR studies

# Previous work

## Small polarons in Nb- and Ta-doped rutile and anatase TiO<sub>2</sub>

Benjamin J. Morgan\*, David O. Scanlon and Graeme W. Watson\*

School of Chemistry, Trinity College Dublin, Dublin 2, Ireland. E-mail: [morganb@tcd.ie](mailto:morganb@tcd.ie); [watsong@tcd.ie](mailto:watsong@tcd.ie)

Received 11th March 2009, Accepted 6th May 2009

First published on 10th June 2009

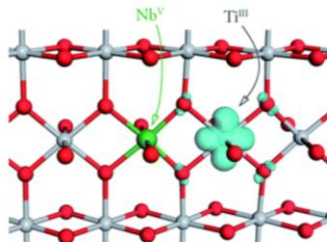


Fig. 4 A slice through a (001) plane of the Nb-doped anatase TiO<sub>2</sub> system, showing the charge density associated with the gap state in Fig. 3(b). The Nb dopant is shown in green, Ti atoms are grey and oxygen atoms are red. The charge isosurface is shown at a density of 0.05 e Å<sup>-3</sup>.

DFT+U work shows electron localisation but positive energy distribution

## Localized Electronic States from Surface Hydroxyls and Polarons in TiO<sub>2</sub>(110)

N. Aaron Deskins\*, Roger Rousseau and Michel Dupuis

Chemical and Material Sciences Division, Pacific Northwest National Laboratory, Richland, Washington 99352

*J. Phys. Chem. C*, 2009, 113 (33), pp 14583–14586

DOI: 10.1021/jp9037655

Publication Date (Web): July 27, 2009

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\* Corresponding author. E-mail: [nathaniel.deskins@pnl.gov](mailto:nathaniel.deskins@pnl.gov).

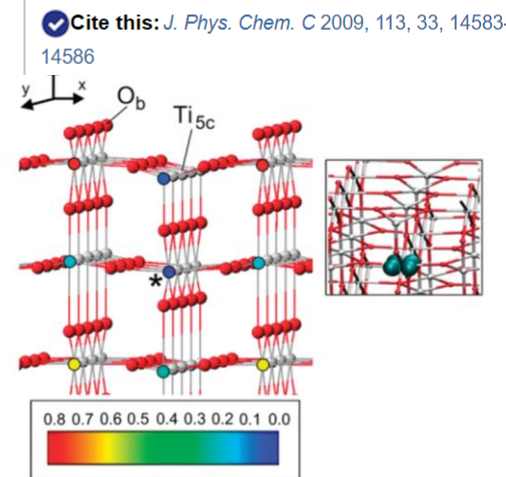


Figure 1. Relative energies (eV) for polaronic structures with an excess electron near a (110) surface for  $U = 4.1$  eV. Red spheres represent O atoms, and gray spheres represent Ti atoms. Colored circles indicate sites where excess electrons were localized to form  $Ti^{3+}$  centers, and the colors indicate their stability relative to the most stable Ti site (marked by an asterisk).  $Ti_{5c}$  and  $O_b$  atoms are also indicated. The inset shows the spin density at the most stable site with a filled  $d_{xy}$  orbital.

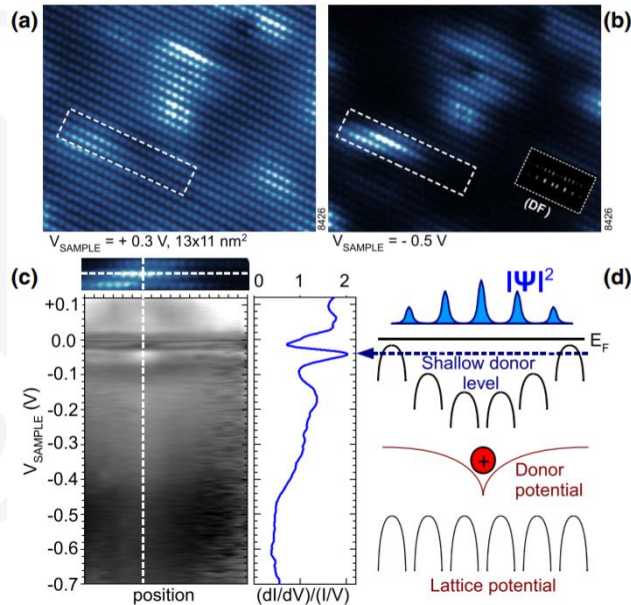
# Previous work

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## Direct View at Excess Electrons in $\text{TiO}_2$ Rutile and Anatase

Martin Setvin, Cesare Franchini, Xianfeng Hao, Michael Schmid, Anderson Janotti, Merzuk Kaltak, Chris G. Van de Walle, Georg Kresse, and Ulrike Diebold  
Phys. Rev. Lett. **113**, 086402 – Published 18 August 2014



Shallow donor states

Carrier-free description

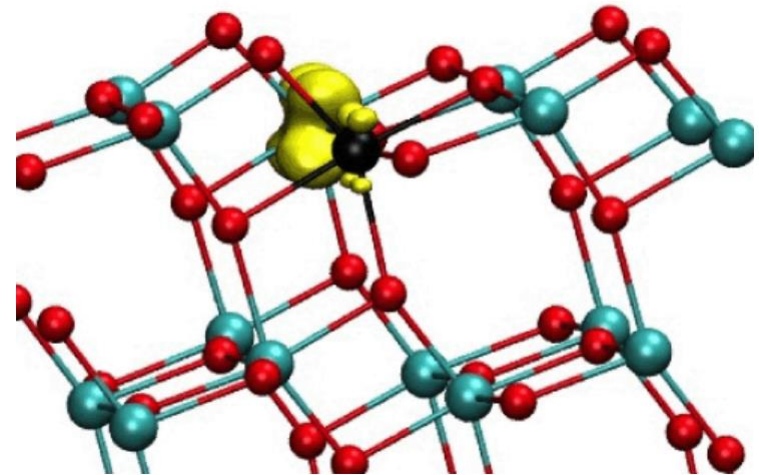


Rapid Research Letter | [Full Access](#)

## Polarons and oxygen vacancies at the surface of anatase $\text{TiO}_2$

Peter Deák, Jolla Kullgren, Thomas Frauenheim

First published: 05 May 2014 | <https://doi.org/10.1002/pssr.201409139> | Cited by: 22



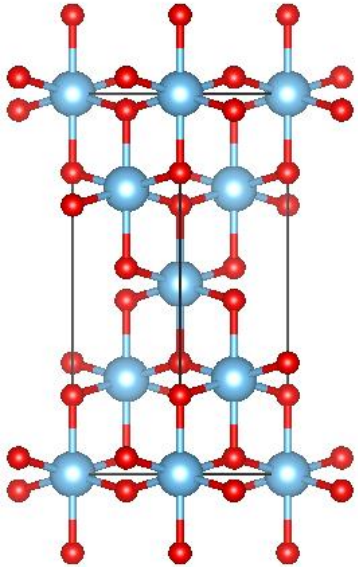
HSE06 predicts electron trapping

# Methodology

- Density functional theory in CP2K
- Hybrid functional PBE-tr
- HF exchange is determined by Koopmans Theorem
  - 10.5% exact exchange obeys linearity by  $< 0.05$
- Large  $\text{TiO}_2$  bulk and surfaces, ranging from 400-700 atoms
- K-point sampling is at the Gamma point (1x1x1)
- Auxiliary density matrix method (ADMM)
  - Basis set cut off is 60Ry (810 eV)
  - Fine grid level density cut off is 600 Ry (8000 eV)

# TiO<sub>2</sub> bulk and surfaces

TiO<sub>2</sub> Anatase Unit cell

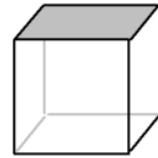


Ti cations

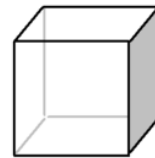


O anions

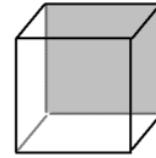
Generate low and high index surfaces of anatase



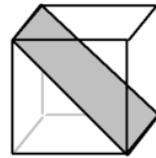
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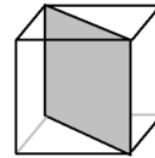
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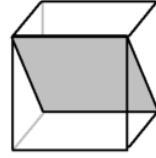
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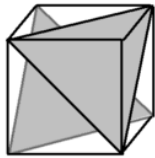
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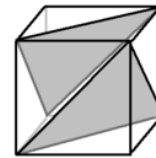
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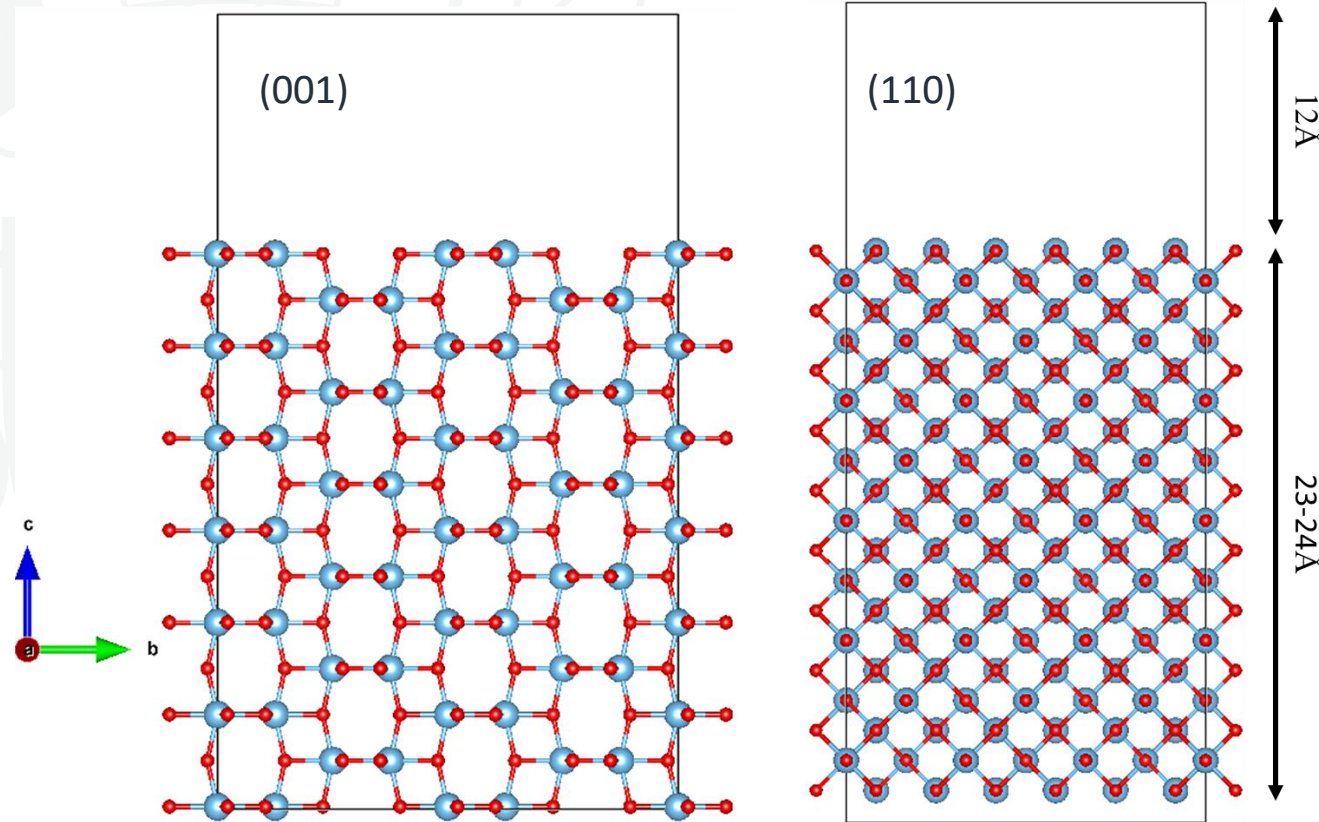


(1 $\bar{1}$ 1)



( $\bar{1}$ 11)

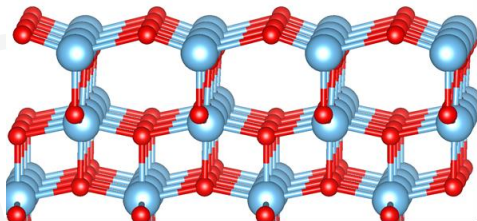
# Anatase Surfaces



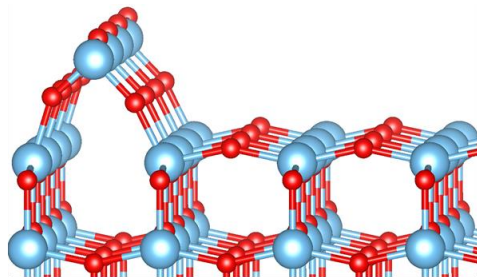
All surfaces contain  
around 500-700 atoms

# Anatase surfaces

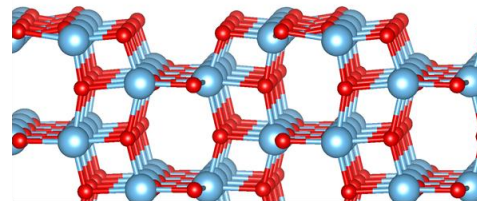
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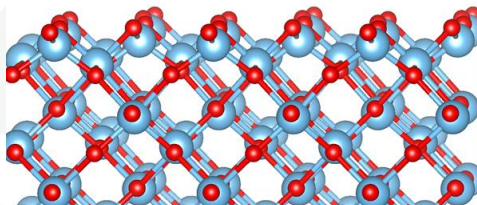
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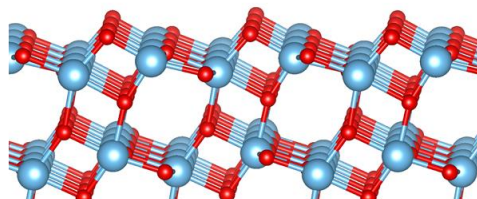
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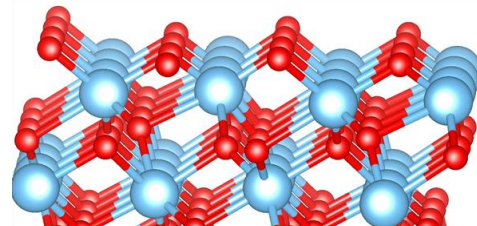
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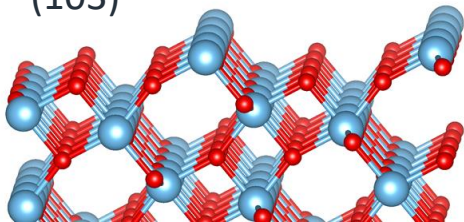
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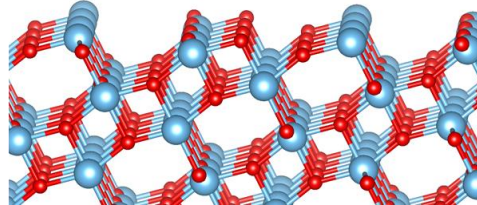
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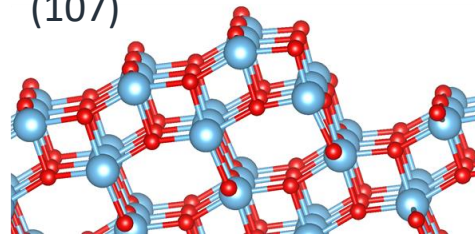
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(105)

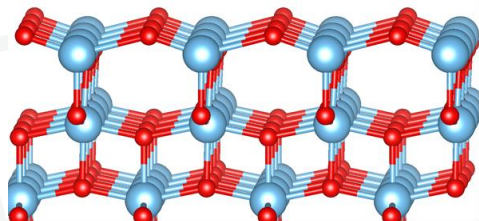


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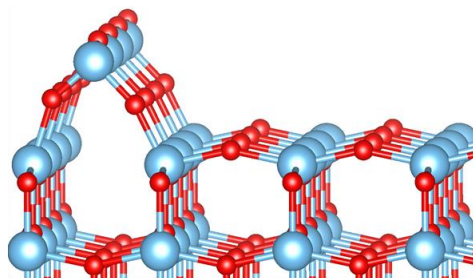


# Anatase surfaces

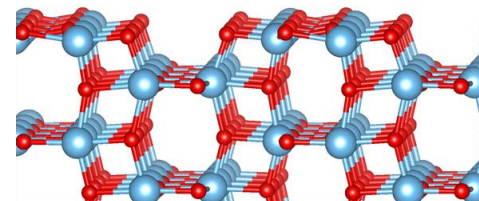
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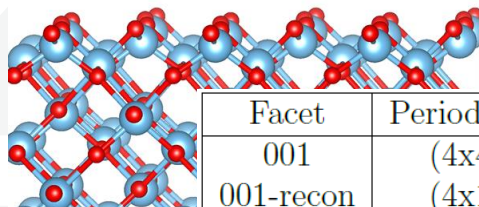
(001) - reconstructed



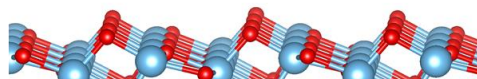
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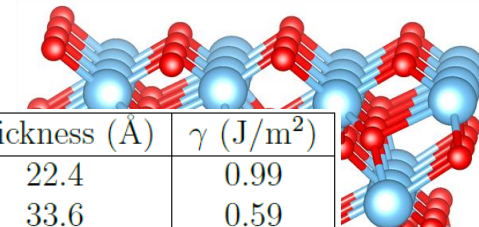
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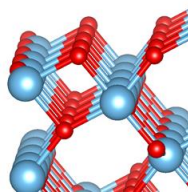
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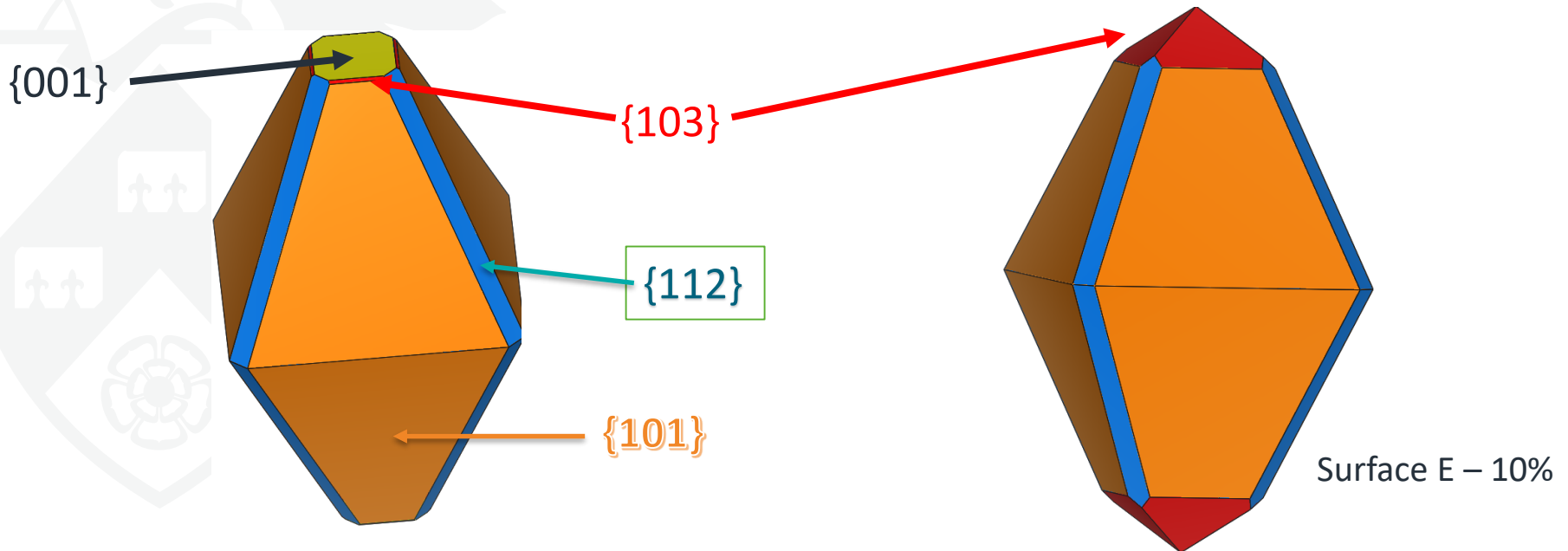
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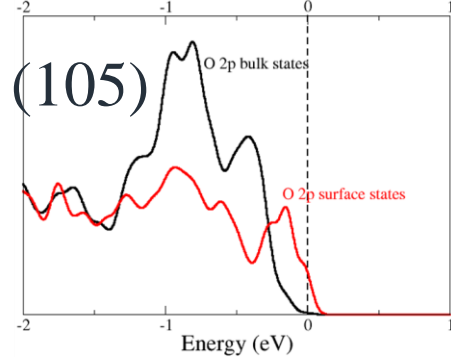
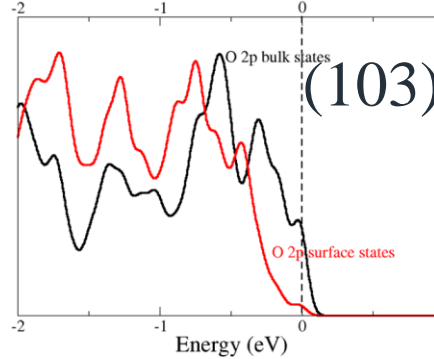
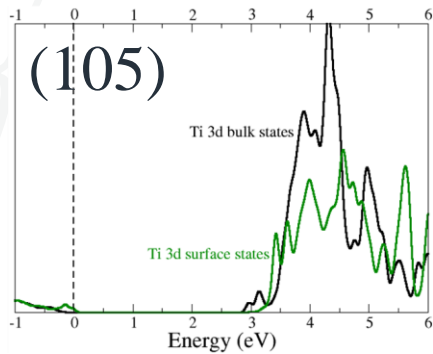
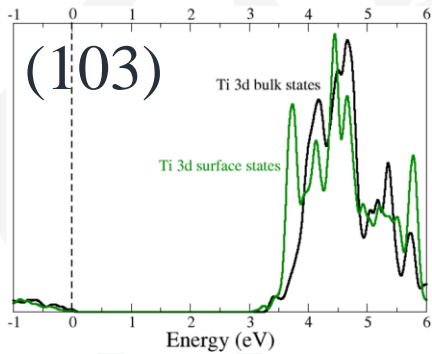
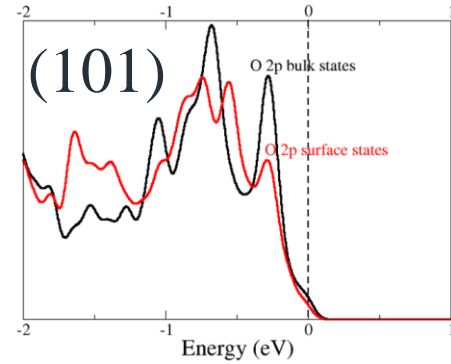
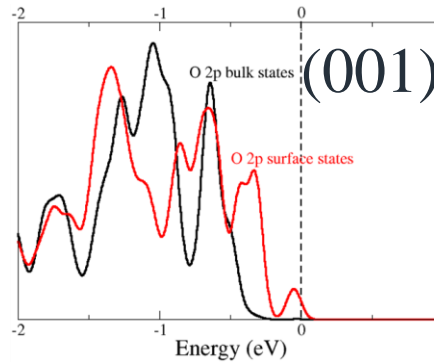
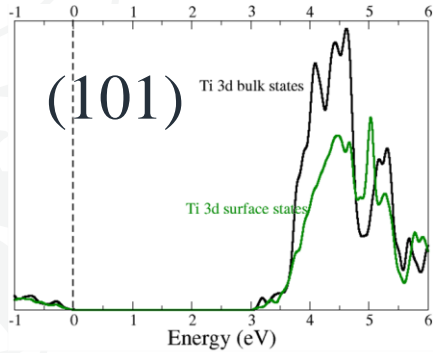
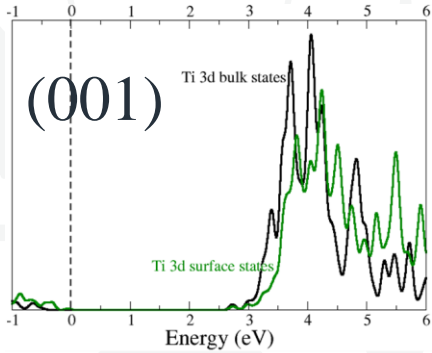
Facet	Periodicity	Stoichiometry	Area ( $\text{\AA}^2$ )	Thickness ( $\text{\AA}$ )	$\gamma$ ( $\text{J/m}^2$ )
001	(4x4)	Ti <sub>160</sub> O <sub>320</sub>	237.3	22.4	0.99
001-recon	(4x1)	Ti <sub>200</sub> O <sub>400</sub>	237.3	33.6	0.59
100	(4x2)	Ti <sub>208</sub> O <sub>416</sub>	296.3	23.1	0.56
110	(2x3)	Ti <sub>228</sub> O <sub>456</sub>	314.3	24.2	0.97
101	(4x2)	Ti <sub>224</sub> O <sub>448</sub>	319.1	23.9	0.46
112	(4x1)	Ti <sub>176</sub> O <sub>352</sub>	268.5	25.6	0.58
103	(5x1)	Ti <sub>160</sub> O <sub>320</sub>	289.2	20.1	0.97
105	(4x1)	Ti <sub>192</sub> O <sub>384</sub>	331.1	19.6	1.07
107	(1x3)	Ti <sub>168</sub> O <sub>336</sub>	330.2	20.5	1.01

# Anatase Nanoparticle

- A Wulff construction using the calculated surface energies



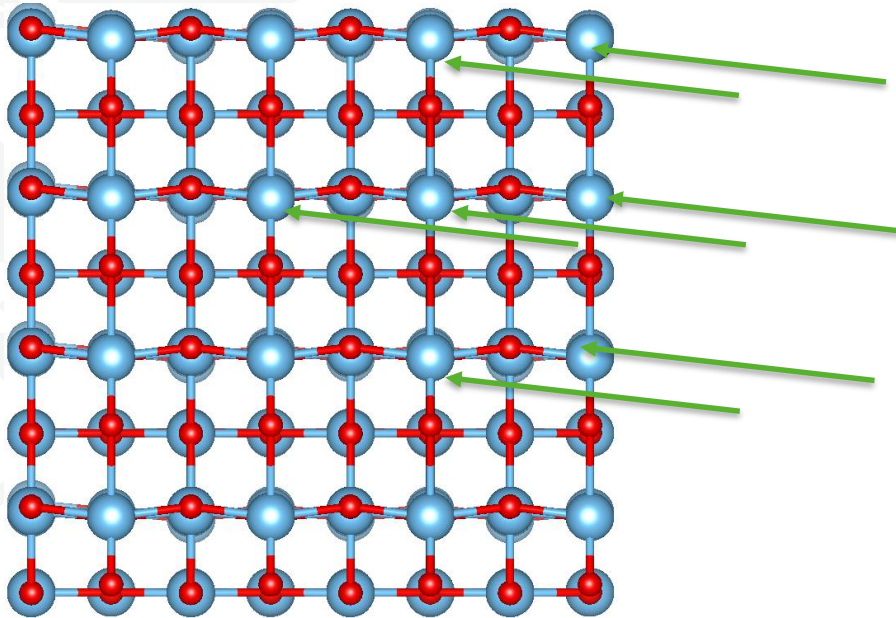
# Anatase Surfaces



Examined the surface and bulk partial decomposed electron density of states

# Electron Trapping: Anatase

- Similar to bulk anatase  $\text{TiO}_2$ , there is no trapping of electrons at the surface facets
  - A delocalised solution is preferred on all low index surfaces

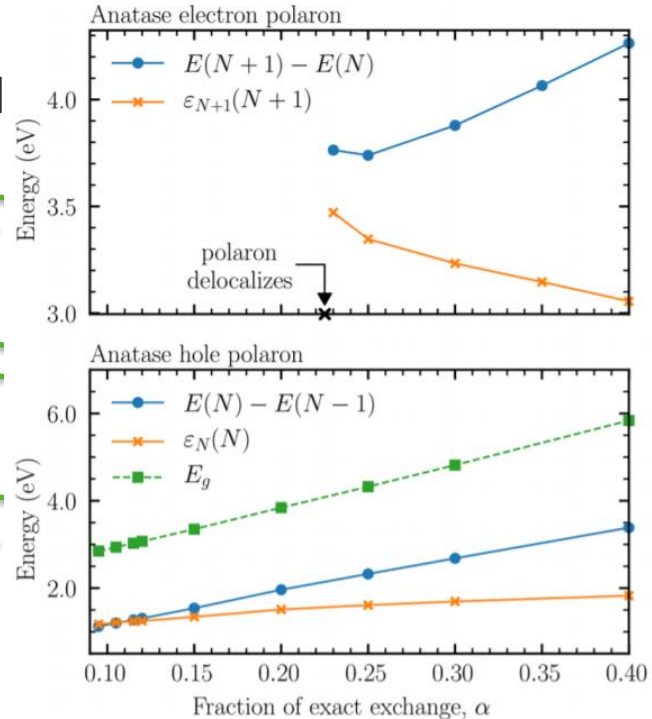
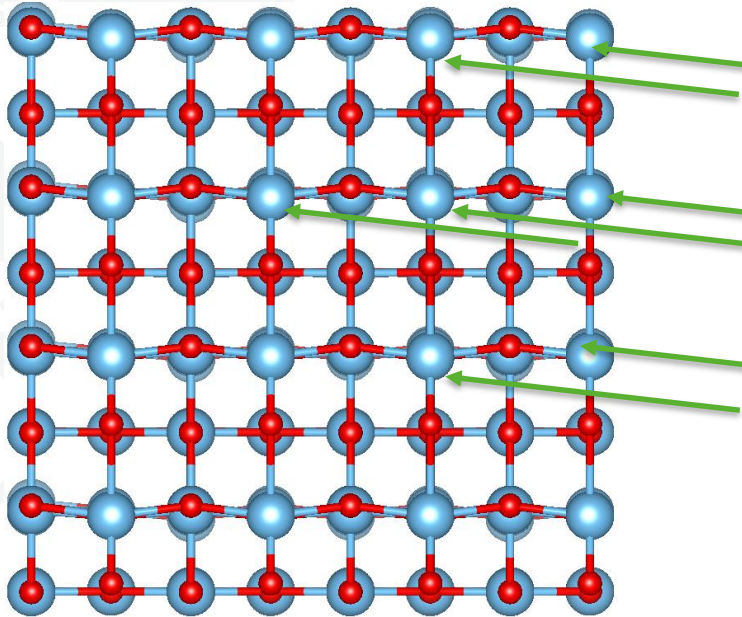


Formation of  $\text{Ti}(4-\gamma)^+$

# Electron Trapping: Anatase

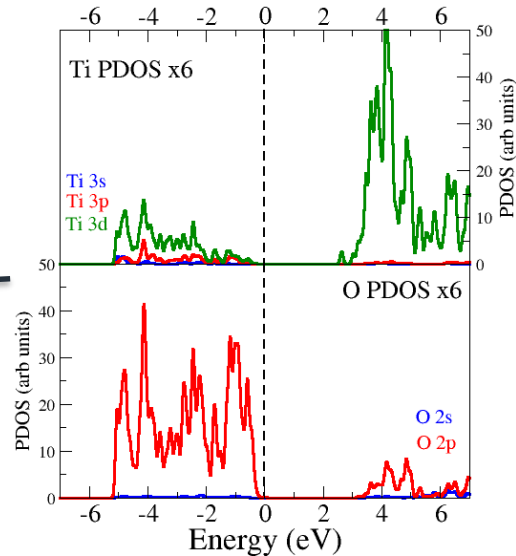
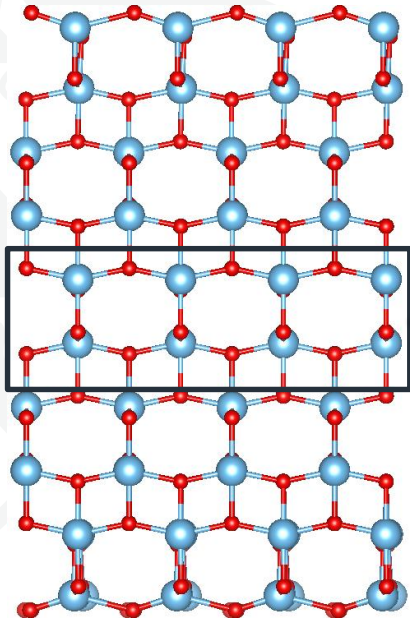
- Similar to bulk anatase  $\text{TiO}_2$ , there is no trapping of electrons at the surface facets

– A delocalised solution is preferred on all



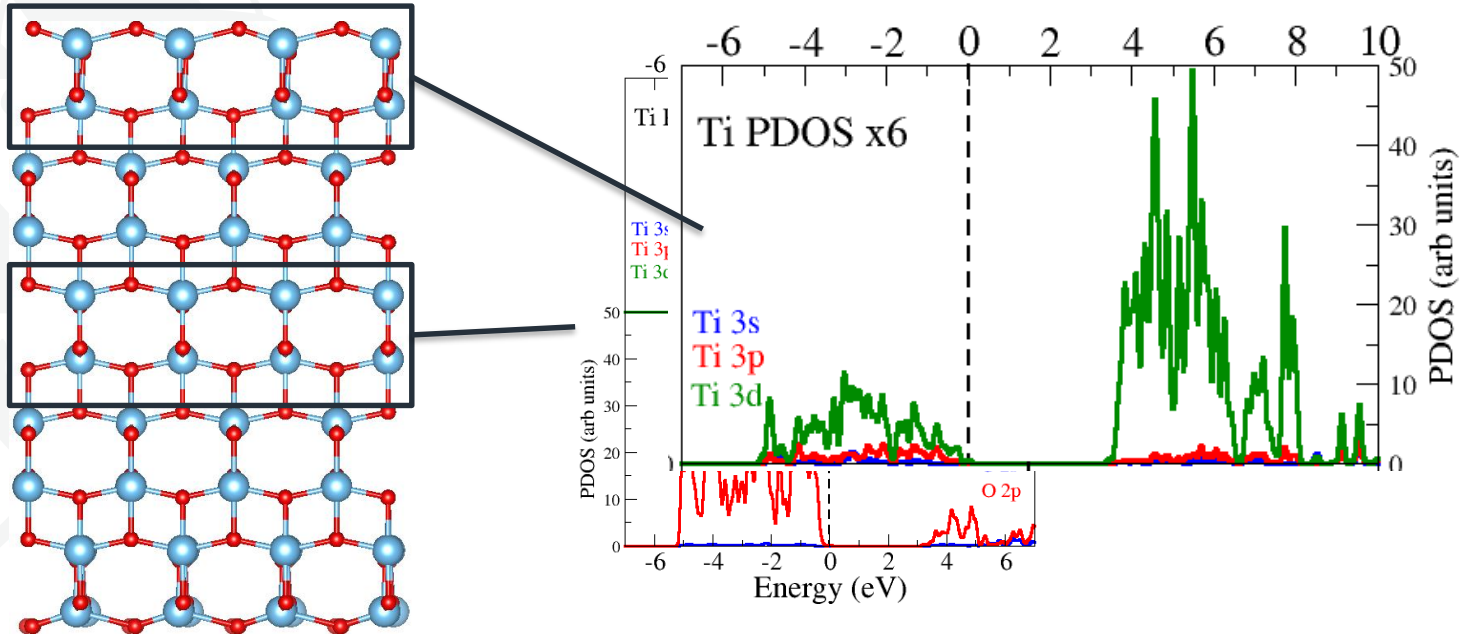
# Electron Trapping: Anatase

- Similar to bulk anatase  $\text{TiO}_2$ , there is no trapping of electrons at the surface facets

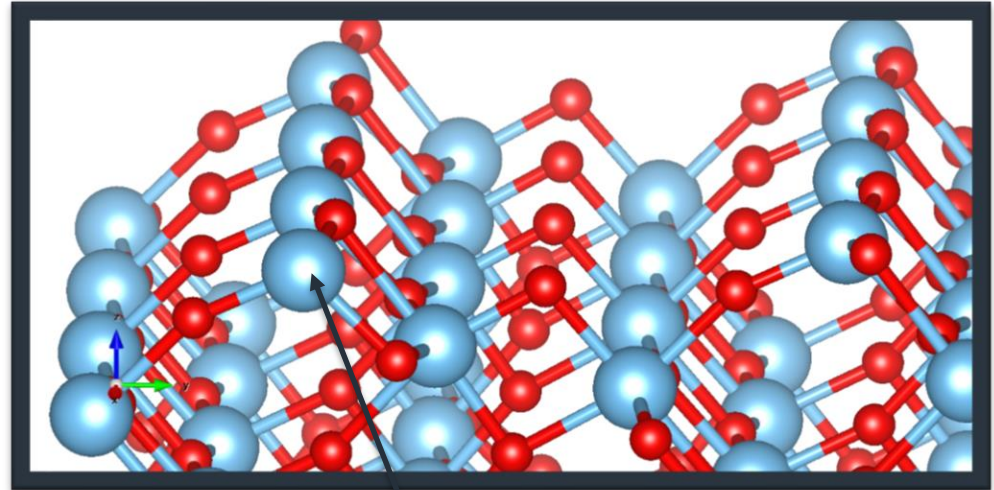
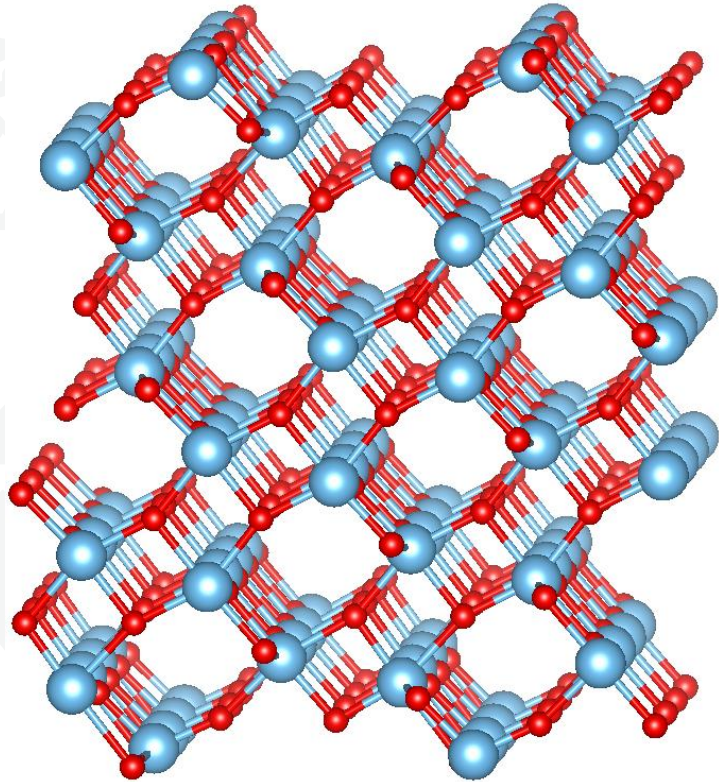


# Electron Trapping: Anatase

- Similar to bulk anatase  $\text{TiO}_2$ , there is no trapping of electrons at the surface facets

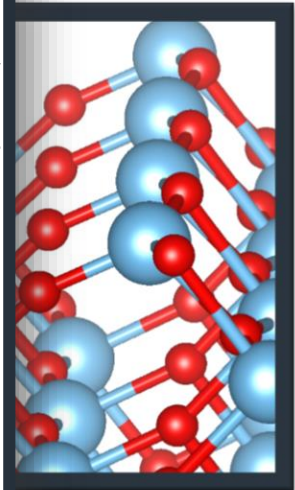
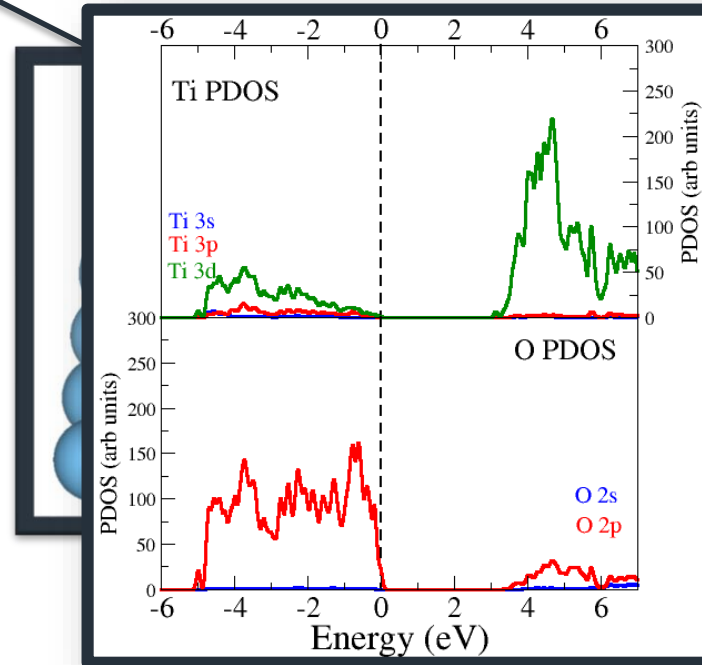
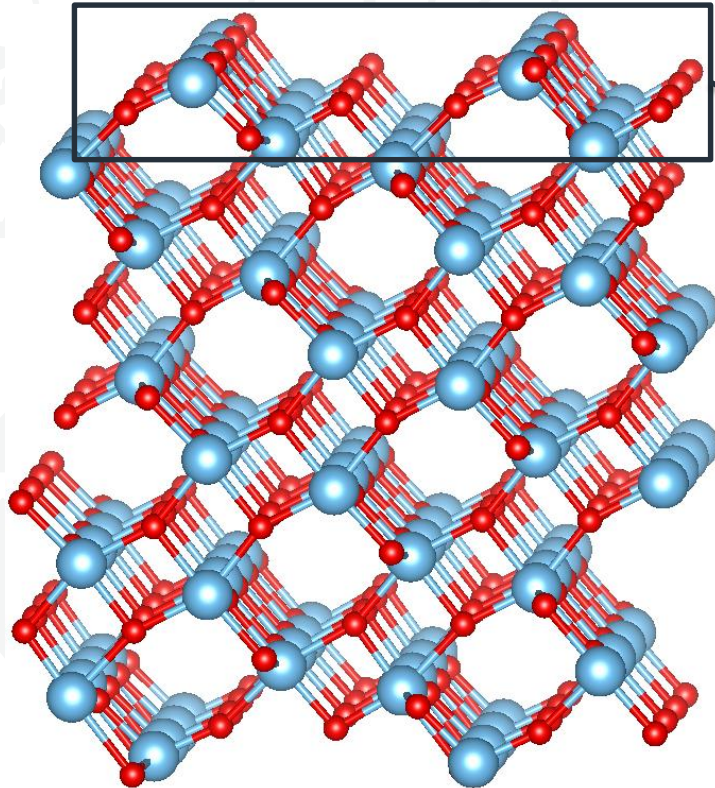


# Stepped surface: 103 facet



4 coordinated Ti

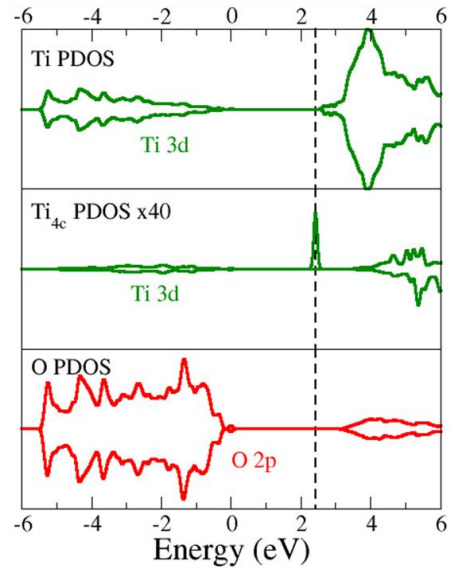
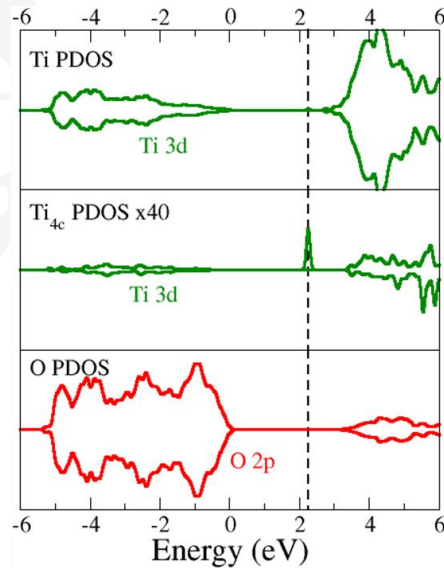
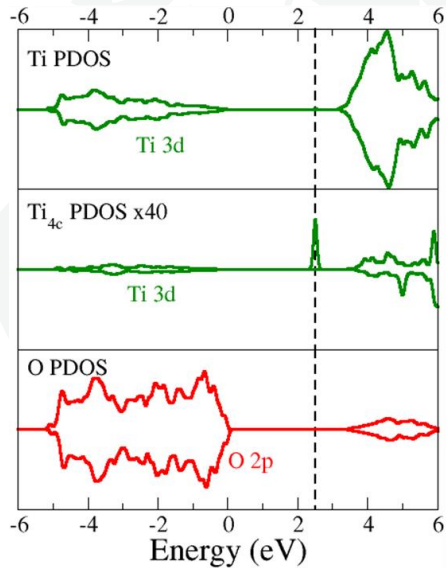
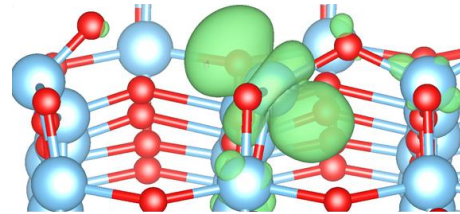
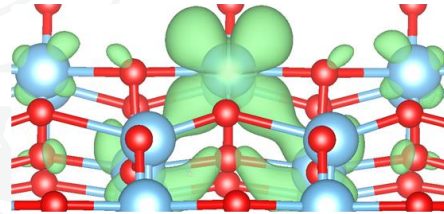
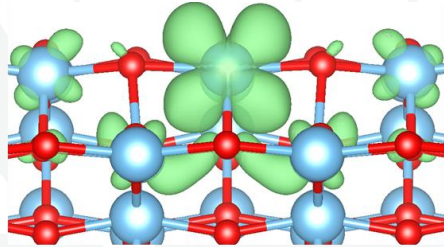
# Stepped surface: 103 facet



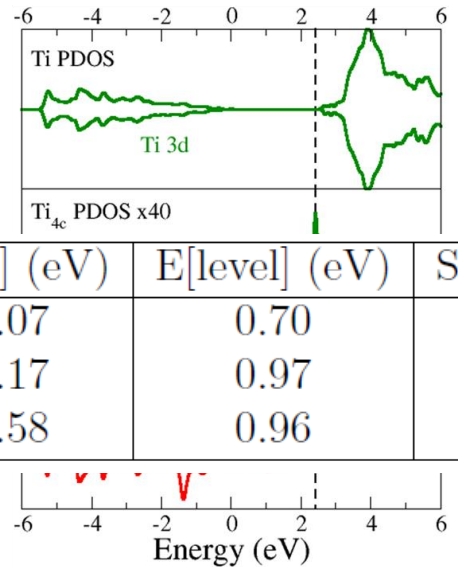
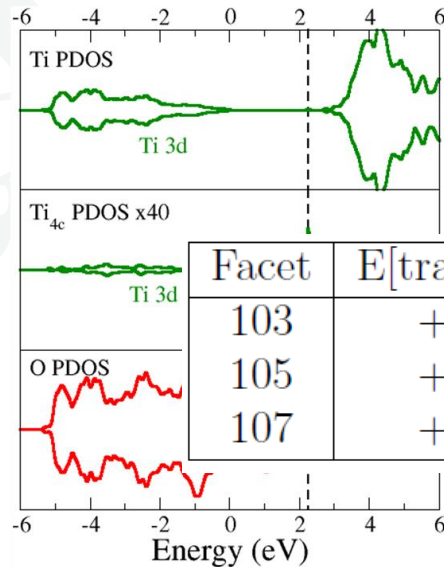
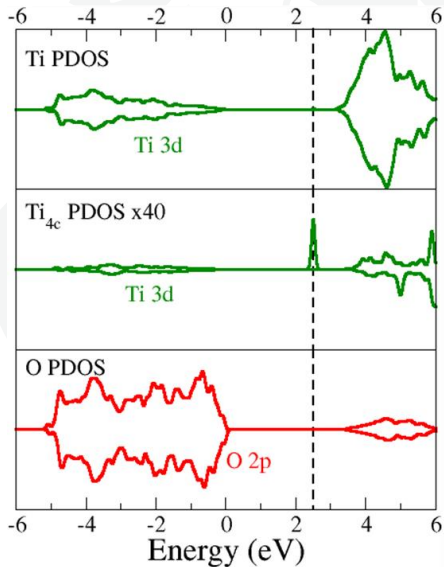
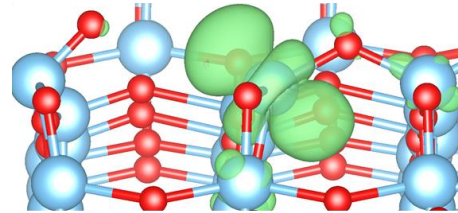
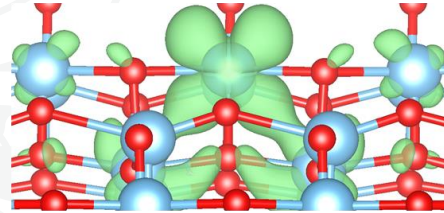
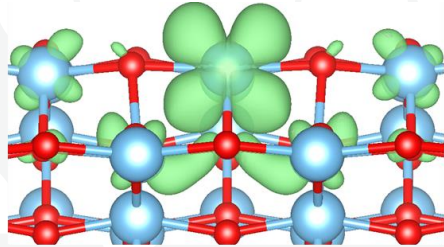
...calculated Ti



# Stepped surface: 103 facet

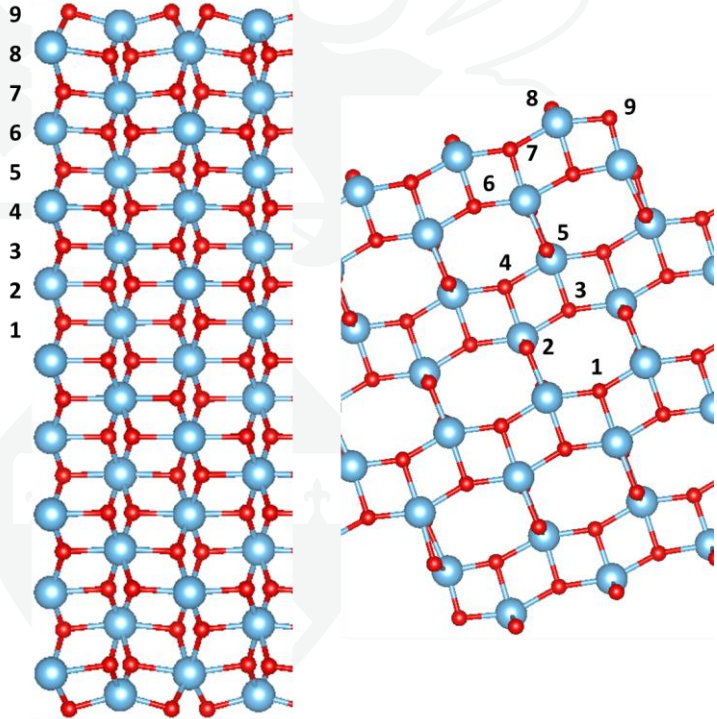


# Stepped surface: 103 facet



Facet	E[trap] (eV)	E[level] (eV)	Strain (eV)	Spin ( $\mu_B$ )
103	+0.07	0.70	1.05	0.73
105	+0.17	0.97	0.97	0.61
107	+0.58	0.96	1.25	0.89

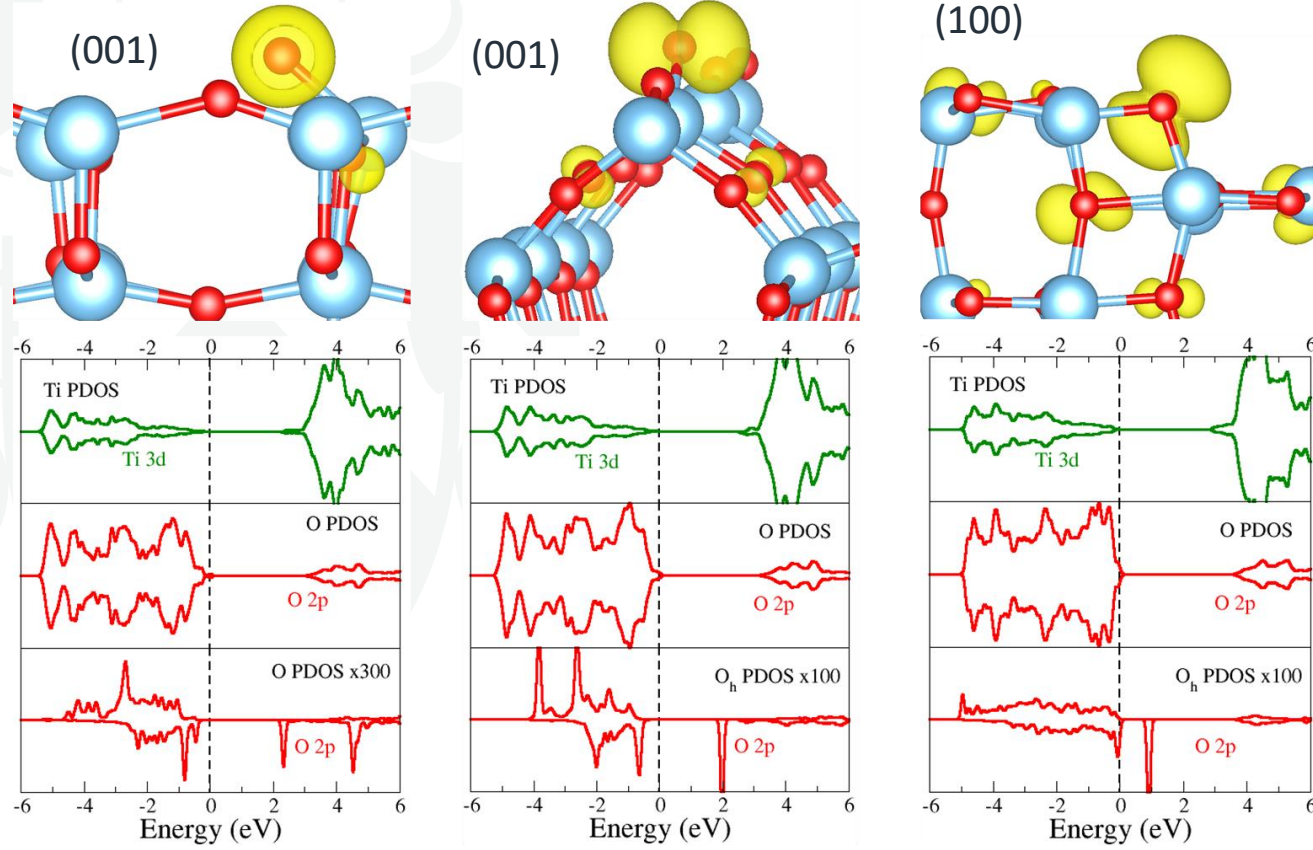
# Hole Trapping



Facet	1	2	3	4	5	6	7	8	9
001	0.00	0.00	0.00	0.01	0.06	-	-	-	-1.13
001-recon	0.00	0.00	0.00	0.01	0.09	-	-	-0.16	-1.17
100	0.00	0.00	0.05	0.03	0.19	-0.05	0.02	-0.12	0.07
101	0.00	-0.09	0.05	-0.06	0.17	-0.15	-0.06	-0.06	0.30
110	0.00	-0.08	-0.08	-0.08	0.07	-0.11	0.20	-0.18	-0.02
112	0.00	0.00	0.00	0.00	0.04	-0.06	0.06	0.06	0.13
103	0.00	0.00	0.00	0.08	0.07	-0.04	0.26	0.10	0.21
105	0.00	0.00	0.03	-0.09	-0.11	-	-	-	-1.37
107	0.00	0.00	0.00	0.03	-0.09	0.13	-0.75	-0.83	-0.75

- Hole trapping energies calculated by the bulk like atom in the slab center
- Energies around slab center are similar
- (001), (001)-recon, (100), (105) and (107) prefer surface sites
- (101), (110) prefer sub-surface sites
- Hole trapping on (112) and (103) surfaces unlikely occur

# Hole Trapping

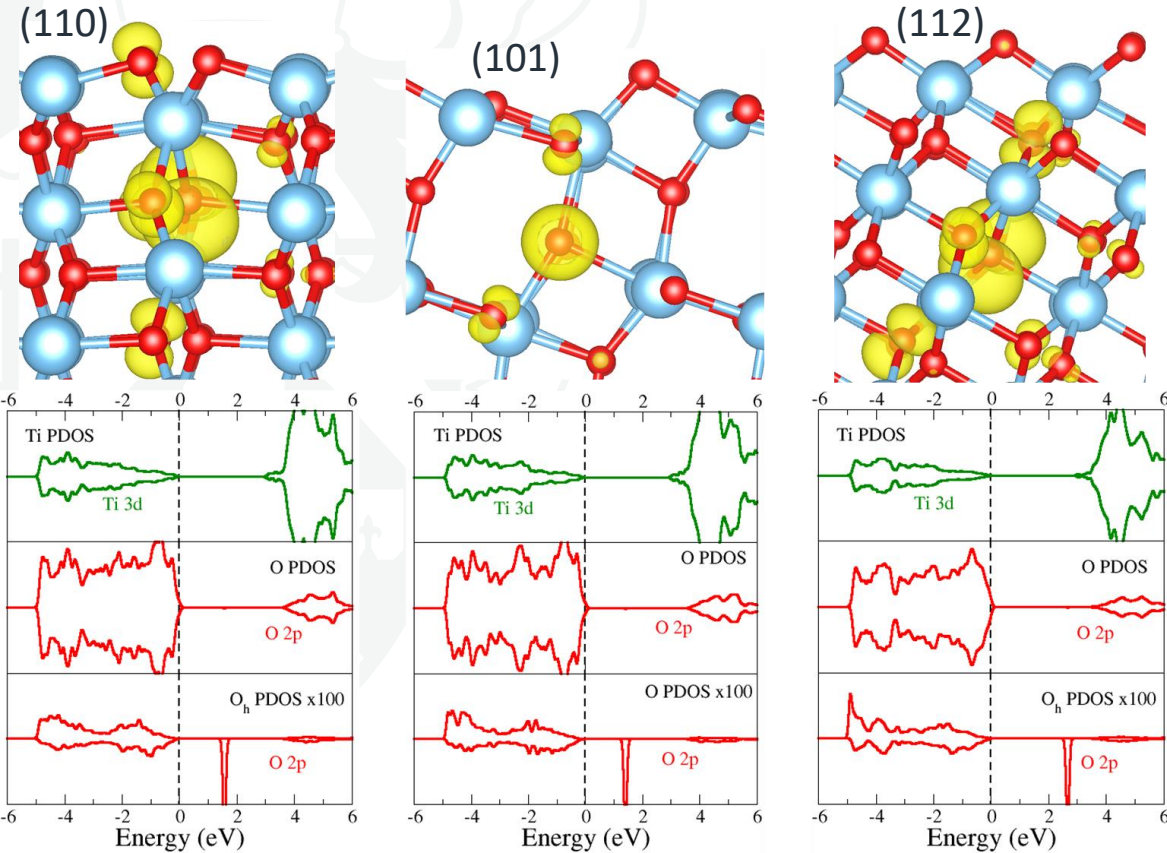


Surface hole traps

(001) Surfaces have deep hole traps around 2 eV

(100) Has shallower trap

# Hole Trapping

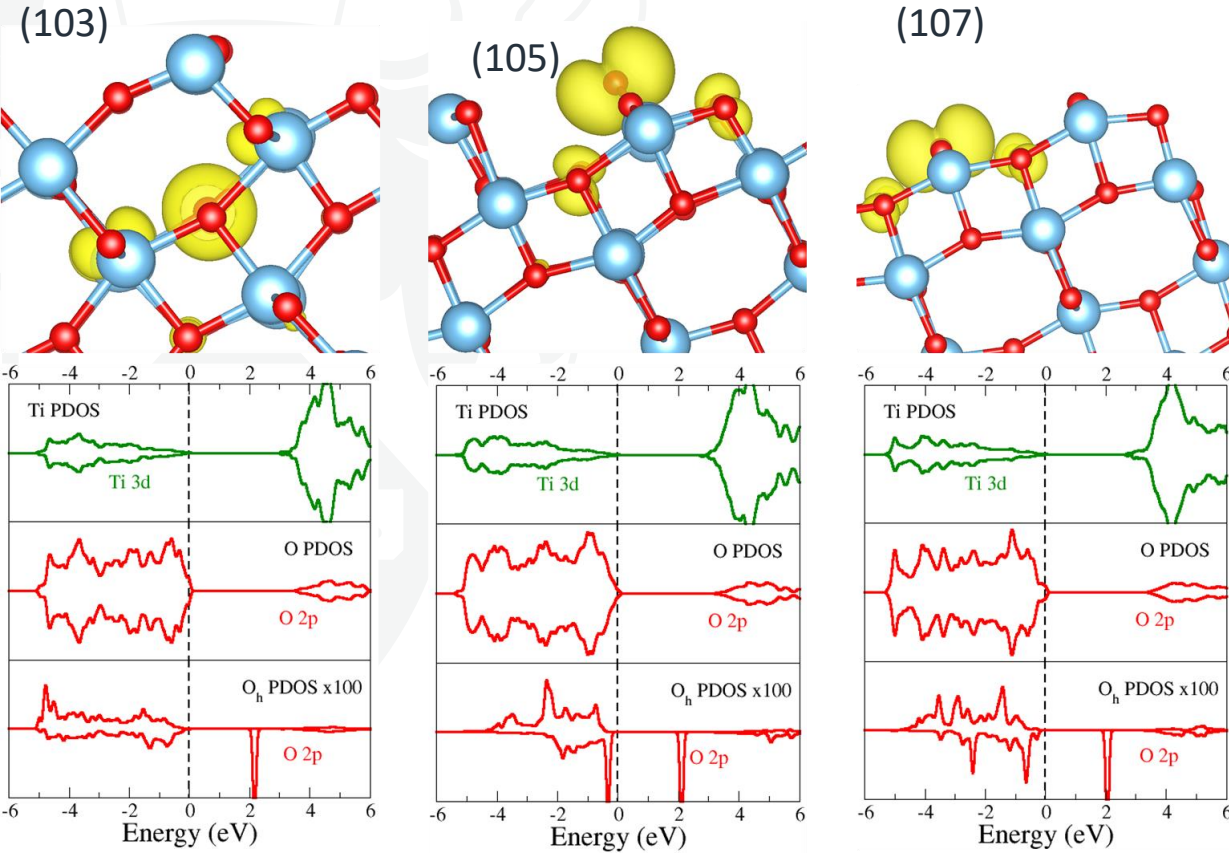


Sub Surface hole traps

(112) Surface has a deep hole trap around 2.8 eV

(110) And (101) Have shallower traps

# Hole Trapping



# Conclusions



- There is no electron trapping in low index surfaces
  - Point and structural defects trapping electrons??
- Structural defects play a role in electron self trapping
  - Low lying Ti 3d states are accessible by low coordinated Ti cations
- Holes trap in all surfaces of anatase TiO<sub>2</sub>
  - Different facets have various hole trapping locations

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### Does Polaronic Self-Trapping Occur at Anatase TiO<sub>2</sub> Surfaces?

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